

台北富邦商業銀行股份有限公司內部控制制度聲明書

謹代表台北富邦商業銀行股份有限公司聲明本銀行於一〇〇年一月一日至一〇〇年十二月三十一日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報董事會及監察人，兼營證券業務部分，並依據「證券暨期貨市場各服務事業建立內部控制制度處理準則」規定之內部控制制度有效性之判斷項目，判斷內部控制制度之設計及執行是否有效。經審慎評估，本年度各單位內部控制及法規遵循情形，均能確實有效執行；本聲明書將成為本銀行年報及公開說明書之主要內容，並對外公開。上述公開之內容如有虛偽、隱匿等不法情事，將涉及證券交易法第二十條、第三十二條、第一百七十一條及第一百七十四條等之法律責任。

謹 致

行政院金融監督管理委員會

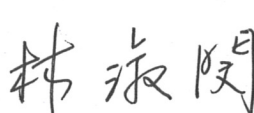


聲明人：台北富邦商業銀行股份有限公司

董事長：  (簽章)

總經理：  (簽章)

總稽核：  (簽章)

總機構法令遵循主管：  (簽章)

中 華 民 國 一 〇 一 年 三 月 二 十 一 日

Taipei Fubon Commercial Bank Co., Ltd.

Internal Control System Statement

To: Financial Supervisory Commission, Executive Yuan

On behalf of Taipei Fubon Commercial Bank Co., Ltd., we declare that from January 1, 2011 to December 31, 2011 the Bank duly complied with the "Implementation Regulations for Internal Audit and Internal Control Systems of Financial Holding Companies and Banks" by establishing an internal control system, implementing risk management, and designating an independent department to conduct audits and report regularly to the board of directors and supervisor. With respect to the securities business, the Bank assessed the effectiveness of the design and execution of its internal control system based on the criteria for evaluating the effectiveness of internal control systems described in the "Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets." After careful evaluation, the Bank found that all of its units' internal controls and legal compliance were effective during the year. This statement will be included as a main part of the Bank's annual report and any prospectuses and be made public. Any false information, concealed information, or other illegalities in the statement will be subject to legal liabilities based on Articles 20, 32, 171 and 174 of the Securities and Exchange Act.

Declarant: Taipei Fubon Commercial Bank Co., Ltd.



Chairman:

Handwritten signature in black ink.

President:

Handwritten signature in black ink.

Chief Auditor:

Handwritten signature in blue ink.

Chief Compliance Officer:

Handwritten signature in black ink.

Date: March 21, 2012